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## Electrostatic Air Filtration by Multifunctional Dielectric Heterocaking Filters with Ultralow Pressure Drop

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**ABSTRACT:** In air filtration, for creating healthy indoor air, there is an intrinsic conflict between high filtration efficiency and low wind pressure drop. In this study, we overcame this conflict by developing new dielectric heterocaking (HC) filters, in which high relative dielectric constant ( $\varepsilon_r$ ) materials were heterogeneously loaded on traditional polymer fibers. The dielectric HC filters in an electrostatic polarizing field generate a great amount of charges on their surface, leading to a strong attraction to precharged aerosol particles, and result in high filtration efficiency. Observing *via* a charged coupled device camera, the migration speed of aerosol smoke particles toward the polarized HC fiber exceeded those toward the unpolarized HC fiber by a factor of 6. We loaded high- $\varepsilon_r$  HCs including manganese dioxide (MnO<sub>2</sub>), activated carbon, zinc oxide (ZnO), copper oxide (CuO), and barium titanate (BaTiO<sub>3</sub>) on polyurethane foams using a fast and large-scale roll-to-roll gel squeezing method. Based on the experimental results, when HCs had a  $\varepsilon_r$  larger than 5.1, an increased  $\varepsilon_r$  did not benefit electrostatic filtration efficiency for aerosol particles much, but resulted in a larger net ozone production. We suggested a MnO<sub>2</sub>-HC filter for efficient and multifunctional filtration of indoor particles, ambient ozone, and formaldehyde with only 3.8 Pa pressure drop at 1.1 m/s filtration velocity. This efficient and cost-effective dielectric HC filter opens a new avenue for the design of multifunctional filters, which will facilitate its large-scale production and commercial application in the ventilation system for healthy buildings.

KEYWORDS: indoor air quality, particulate matter, ozone, formaldehyde, CCD camera, polyurethane foam

#### 1. INTRODUCTION

Airborne pollutants including particulate matter (PM), ozone, and formaldehyde pose serious health threats to the public. Exposure to PM increases the risk of dementia,<sup>1,2</sup> diseases of the respiratory and cardiovascular systems,<sup>3,4</sup> and mortality.<sup>5,6</sup> Submicrometer particles (PM<sub>1</sub>) are more harmful than micrometer-sized particles because they can go deeper into the human respiratory system.<sup>7–9</sup> Besides PM, ambient ozone exposure contributes to the risk of respiratory, cardiovascular, and circulatory mortality.<sup>10,11</sup> Ozone also reacts with certain indoor chemical pollutants to generate secondary ultrafine particles, which have been associated with adverse health effects.<sup>12</sup> Furthermore, formaldehyde, one of the most common volatile organic compounds (VOCs) in Chinese residences, has been classified as carcinogenic and teratogenic by the World Health Organization.<sup>13</sup> Specifically, for adults in urban China, formaldehyde has become the most significant contributing pollutant to inhalation cancer risk.<sup>14</sup> Therefore, the development of high-efficiency air filtration technologies that can simultaneously remove hazardous PM, ozone, and formaldehyde is a critical need for public health.<sup>15,16</sup>

In addition, climate emergency is another pressing issue. Scientists have warned that the world should quickly implement massive energy efficiency and conservation practices for humanity and the environment.<sup>17</sup> However, there is an intrinsic

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Figure 1. (a) Schematic of the electrostatically assisted heterocaking (EAHC) air filter module; (b) schematic of heterocaking (HC) fibers in a polarizing field compared with normal filter fibers; and (c) preparation process of HC filters by a fast and large-scale roll-to-roll gel squeezing method.

conflict that high-efficiency air filtration usually has large air resistance and costs up to 50% power consumption of the driving fans.<sup>18,19</sup> Developing efficient airborne pollutant filtration technologies with minimal pressure drop and energy consumption is a great challenge. To accomplish this, combining continuous electrostatic effects with fibrous filtration has been proposed.

Wang et al. assembled electret PVDF/PTFE nanofibrous membranes, which had a highest filtration efficiency of 99.972% for PM<sub>2.5</sub> (PM with aerodynamic diameters less than 2.5  $\mu$ m) and a pressure drop of 57 Pa at 5.3 cm/s air velocity.<sup>20</sup> Several researchers enhanced the filtration efficiency of commercial filters by operating an ionizer in front of them.<sup>21-25</sup> In these studies, the largest filtration efficiency enhancement was from 5 to 73% for 0.5  $\mu$ m particles, with 82 Pa pressure drop at 1.1 m/s air velocity.<sup>21</sup> In these studies, either particles or filters were charged, and so the electrostatic filtration efficiency enhancement was limited. Some researchers installed particle prechargers before conductive filters to strengthen the electrostatic effect.<sup>26–29</sup> In these studies, the highest filtration efficiency was 99.99% for 0.03–0.4  $\mu$ m particles with 4.9 Pa pressure drop at 0.1 m/s face air velocity.<sup>27,28</sup> However, the electrostatic shielding limits these filters' dust-holding capacity because there is no electric force inside the conductive filter material.

Therefore, synergistic particle precharging and nonconductive filter polarization was proposed as a promising way to achieve high efficiency, low pressure drop, and large particle capacity at the same time.<sup>30–35</sup> Approaching to the minimal pressure drop, Tian and Mo developed a new structure for the electrostatically assisted air (EAA) coarse filter device, in which corona charging and polarizing fields are independently controlled and optimized to realize a higher filtration efficiency. The device increased the single-pass filtration efficiency for 0.3–  $0.5 \,\mu\text{m}$  particles of a coarse filter from 0.4 to 99.0% with 21.0 Pa pressure drop at 1.2 m/s filtration velocity.<sup>31</sup> However, for these studies, the external voltages were limited by the problems such as dangerous air break down and hazardous ozone byproducts. Therefore, when approaching to a further lower pressure drop, a new filter material with desirable dielectric properties should be designed to replace the commercial filters. In this way, the filter can have a better electrostatic response to the external fields and achieve a higher filtration efficiency without further lifting external voltages. Moreover, when the new filter material is made of an adsorbent or catalyst, airborne ozone and formaldehyde might be simultaneously removed together with particles.

In this study, we aimed to optimize the material to make a multifunctional filter to lower the pressure drop further and maintain high air pollutant removal efficiencies. To achieve that, we proposed and fabricated new electrostatically assisted heterocaking (EAHC) filters by using polyurethane (PU) foams with extremely low pressure drop as base filters and heterogeneously loading high- $e_r$  heterocakings (HCs) [manganese dioxide, activated carbon (AC), zinc oxide, copper oxide, and barium titanate] on them. From the observation *via* a charged coupled device (CCD) camera, we found that the HCs on the fiber surfaces in an electrostatic polarizing field have

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**Figure 2.** (a) Schematic of an *in situ* CCD observation platform. Photographs of smoke particle migration behavior toward the heterocaking (HC) fiber caught by the CCD camera when (b) no voltage is applied to the charging wire at 1 ms; (c) no voltage is applied to the charging wire at 119 ms; (d) +5 kV is applied to the charging wire at 1 ms; and (e) +5 kV is applied to the charging wire at 119 ms. (f) Particle migration speed along the distance toward the HC fiber surface when no voltage (square hollow) or +5 kV (circle solid) is applied to the charging wire. The electric field around the polarized (g) PU fiber and HC (BaTiO<sub>3</sub> loaded PU) fiber simulated by Maxwell 3D.

strong attractions to precharged aerosol particles. Quantitative experiments revealed that the EAHC filter has not only high single-pass filtration efficiency for airborne PM, ozone, and formaldehyde but also low pressure drop and low power dissipation. This efficient and cost-effective dielectric HC filter opens a new avenue for the design of multifunctional filters, which will facilitate its large-scale production and commercial application in the ventilation system for healthy buildings.

#### 2. THEORETICAL BASIS

The schematic of the EAHC air filter module is shown in Figure 1a. The polluted air is driven into the air duct and first precharged by a wire-to-plate discharger (in the charging zone) and then driven toward the filter with a polarizing field through it (in the polarizing zone). The polarizing field induces charges on the surfaces of the dielectric filter material.<sup>36</sup> The charged particles are then captured by the polarized fibers owing to the electric force  $F_{\rm e}$  (N) between them<sup>37</sup>

$$F_{\rm e} = E_{\rm p}q \left[ 1 + \left( \frac{\varepsilon_{\rm r} - 1}{\varepsilon_{\rm r} + 1} \right) \frac{d_{\rm f}^2}{4R^2} \right] \tag{1}$$

where  $E_p$  is the external polarizing field intensity (V/m); q is the charge (C) of a particle;  $\varepsilon_r$  is the relative dielectric constant of the fiber;  $d_f$  is the fiber diameter ( $\mu$ m); and R is the distance ( $\mu$ m) between the centerline of the fiber and the particle. The larger the  $F_e$  is, the higher the filtration efficiency of the EAHC air filter module will be.

As shown in Figure 1b, the area of the effective electric field (purple zone) around each normal filter fiber is limited. It requires the gaps among fibers to be relatively small; otherwise, the airborne particles will easily penetrate through the filter. The heterogeneous location of high- $\varepsilon_r$  HCs (the blue dots in Figure 1b) will form a larger electric field. Therefore, the gap between HC fibers can be larger than that between the normal filter fibers, resulting in a lower pressure drop at the same filtration efficiency level. Furthermore, when the loaded HCs are made of an adsorbent or catalyst, some indoor hazardous gas, such as ozone and formaldehyde, is expected to be removed.

#### 3. EXPERIMENTAL SECTION

**3.1. Materials.** PU foams (thickness: 8 mm, filter porosity: 35 ppi, ~1 mm pore diameter) and AC powder and granules were obtained from Zhongshan Topg Ecology Tech Co. Ltd., China. PU fibers were purchased from Shanghai Yanya Ecology Tech Co. Ltd., China. Manganese dioxide (MnO<sub>2</sub>) powder (10  $\mu$ m particle size, >90%), zinc oxide (ZnO) powder (<100 nm particle size, 99.7%), copper oxide (CuO) powder (<50 nm particle size, 99.5%), and barium titanate (BaTiO<sub>3</sub>) powder (<2  $\mu$ m particle size, 99.9%) were obtained from Sigma-Aldrich Inc., US. Vinyl acetate—ethylene (VAE) copolymer emulsion [VINNAPAS EP 705A (ULS)] was obtained from Wacker Chemical Corp., US. Sodium carboxymethyl cellulose (CMC-Na) was obtained from Guangzhou Suixin Chemical Industrial Co. Ltd., China. The 502 cyanoacrylate instant adhesive super glue (502 glue) was purchased from ABN BOND Co., Ltd., China.

3.2. In Situ CCD Observation of Particle Deposition on HC **Fibers.** A PU fiber with approximately 30  $\mu$ m diameter was dipped into 502 glue and BaTiO<sub>3</sub> powder in sequence and dried naturally in air. After 10 min, BaTiO<sub>3</sub> HCs were firmly loaded on the PU fiber. As shown in Figure 2a, the HC fiber was placed in the middle of a microchannel made of glass slides having a 0.5 mm gap. Two tungsten wires (0.1 mm diameter) were placed 6 mm upstream and downstream the HC fiber, respectively. The upstream wire was connected to a 0 to +10 kV adjustable high voltage direct current (HVDC) power supply (P10, GENVOLT, China), and the downstream wire was connected to the ground. We used smoke aerosol generated by a burning cigarette as the pollutant source and injected the particles into the microchannel using a Pasteur pipette. On applying +5 kV to the charging wire, corona discharge happened and the particles got charged. The wires at the same time formed a polarizing electric field between them through the HC fiber. The microchannel was placed under an optical microscope  $(50 \times)$ , and real-time images of fiber capturing particles were recorded using a CCD camera (403 fps).

**3.3. Electrostatic Simulation.** The electric field around the polarized fibers was computed using a finite-volume solver, Maxwell 3D, developed by Ansoft Corp. Two two-dimensional models (PU fibers with and without BaTiO<sub>3</sub> HC loading) were constructed. The fibers were placed at the center of two parallel 0.1 mm × 12 mm stainless steel plates, one of which was applied +5 kV voltage, while another was connected to the ground. The gap between the two plates was 12 mm and was filled with air medium. The diameters of the fibers and HCs were 30 and 3  $\mu$ m, respectively. The relative dielectric constants ( $\varepsilon_r$ ) of the fibers and HCs were 1.1 and 1450, respectively, as shown in Table 1.

**3.4. Fabrication of HC Filters.** As shown in Figure 1c, the HC filter preparation process includes (1) preparing loading powders by sieving the  $MnO_2$ , AC, ZnO, CuO, and  $BaTiO_3$  powders using 100 mesh; (2) preparing the adhesive gel by mixing the CMC-Na (as the thickener), VAE copolymer emulsion (as the adhesive), and water at a mass ratio of 1:50:100 under moderate stirring until they dissolved; (3) preparing loading gels by mixing each kind of loading powder or granule and adhesive gel at a mass ratio of 1:3, 1:2, or 1:1 with a stirring speed of 500 rpm for 10 min; (4) dipping PU foams into loading gels and squeezing redundant loading gels by a double roller; and (5) drying the foams in an oven at 80 °C for 180 min. The HC filters were washed by hands, as demonstrated in the Supporting Information Video S1, and there was no visible unloaded HC in the water after washing. Table 1 lists the detailed fabrication parameters of the obtained filters.

**3.5. Characterization and Performance Test.** Scanning electron microscopy (SEM) images and elementary composition of the filters were obtained using an electron microscope (Nova NanoSEM 450, FEI Inc., USA) equipped with an energy-dispersive spectrometer (EDS) detector. Brunauer–Emmett–Teller (BET) gas physisorption measurements were conducted to examine the porous nature of the HC filters. The performance of the EAHC air filtration module was evaluated following similar procedures as in previous studies.<sup>44</sup> The experimental details are introduced in the Supporting Information. In brief, ambient PM, ambient ozone, and formaldehyde generated by a syringe pump injector.<sup>45</sup> were used as the pollutant source. The air

Table 1. Fabrication Parameters of the PU Foam and HCFilters

filters	loading materials	$arepsilon_{ m r}$ of loading materials <sup>a</sup>	loading materials/adhesive mass ratio	net loading amount, g/m <sup>2</sup>
PU	none	1.1		0 <sup>b</sup>
MnO <sub>2</sub> -3	MnO <sub>2</sub> (powder)	5.1	1:3	289
AC-1	AC (powder)	6.9	1:1	213
AC-2	AC (powder)	6.9	1:2	172
AC-3	AC (powder)	6.9	1:3	133
gAC-1	AC (granules)	6.9	1:1	782
gAC-2	AC (granules)	6.9	1:2	653
gAC-3	AC (granules)	6.9	1:3	583
ZnO-1	ZnO (powder)	9.9	1:1	433
ZnO-2	ZnO (powder)	9.9	1:2	317
ZnO-3	ZnO (powder)	9.9	1:3	300
CuO-1	CuO (powder)	18.1	1:1	446
CuO-2	CuO (powder)	18.1	1:2	435
CuO-3	CuO (powder)	18.1	1:3	385
BaTiO <sub>3</sub> -3	BaTiO <sub>3</sub> (powder)	1450	1:3	462

<sup>*a*</sup>Relative dielectric constant obtained from the literature.<sup>38–43</sup>  $^{b}$ Weight of the bare PU foam was 156 g/m<sup>2</sup>.

filtration velocities across the filters ( $\nu_{\rm filt}$ ) were controlled at 1.1 m/s, except for formaldehyde removal performance at 0.35 m/s.

Following the calculation method in the Supporting Information, we obtained the single-pass filtration efficiency  $\eta(d_p)$  of particles with a certain size of  $d_p$  ( $\mu$ m), the single-pass filtration efficiency of formaldehyde  $\eta_{\rm HCHO}$ , net ozone production  $\Delta C_{\rm ozone}$  (ppb), and the total power consumption of the EAHC air filter module  $P_{\rm total}$  (W/m<sup>2</sup>) (including the power consumption of the HVDC power supply  $P_{\rm supply}$  and the driving fan  $P_{\rm fan}$ ). We used quality factor, QF (Pa<sup>-1</sup>), and comprehensive quality factor, CQF (Pa<sup>-1</sup>), to evaluate the overall particle removal performance of the EAHC air filter device. QF considers filtration efficiency and pressure drop of the filter,<sup>46</sup> and CQF considers filtration efficiency, pressure drop, and power consumption of the whole filter module.<sup>29</sup>

#### 4. RESULTS AND DISCUSSION

**4.1.** *In Situ* Observation and Electrostatic Simulation. As a proof of concept, Figure 2b—e and Supporting Information Videos S2 and S3 reveal the particle capturing behaviors of the HC fiber recorded using the CCD camera. When no voltage was applied to the charging wire, the smoke particles passed by the HC fiber with a slow velocity (~0.7 mm/s). When +5 kV was applied to the charging wire, the smoke particles deposited to the HC fiber fast, forming particle chains specifically upon the BaTiO<sub>3</sub> cakings. As shown in Figure 2f, the particle migration speed increased from ~0.6 to ~4.1 mm/s when particles were moving toward the HC fiber from ~20 to ~8  $\mu$ m away.

The reason for fast deposition of particles specifically onto the  $BaTiO_3$  cakings in a polarizing field was that the electric field intensity near the  $BaTiO_3$  cakings was far stronger than that near the bare PU fiber. For example, as shown in Figure 2g, the

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**Figure 3.** Multifunctional air purification performances of electrostatically assisted PU foam and HC filters. (a) Single-pass filtration efficiencies for  $0.3-0.5 \ \mu$ m particles (PM<sub>0.3-0.5</sub>); (b) net ozone production; (c) single-pass removal efficiency for formaldehyde; (d) pressure drop. Hollow, semisolid, and solid dots represent particle/adhesive mass ratio being 1:3, 1:2, and 1:1, respectively. AC represents powdered activated carbon, and gAC represents granular activated carbon. The error bars in the figures (a-c) are the standard deviations of six observations of the experiments; in figure (d) are the variations of results for three filters loaded with different particle/adhesive mass ratios.

highest electric field intensities near the bare PU and the BaTiO<sub>3</sub> cakings were  $4.22 \times 10^5$  and  $9.34 \times 10^5$  V/m, respectively. Both the *in situ* observation and electrostatic simulation results revealed that loaded with high- $\varepsilon_r$  HCs, the polarized fibers have a stronger attraction to aerosol particles and result in higher filtration efficiency.

4.2. Morphologies and Components of HC Filters. Figures S1-S3 and Table S1 in the Supporting Information show the SEM images, EDS elemental analysis, pore volume distribution, and specific surface area of the filters listed in Table 1, respectively. The HCs were mostly loaded on the surface of PU foam fiber, but occasionally formed some bulk, blocking the pores of PU foams. A different loading material and particle/ adhesive mass ratio did not make much difference in the macromorphologies of the HC filters. All filters showed macroscopic pore sizes ( $\sim 1$  mm), ensuring that HC filters in this study had ultralow pressure drops. However, for AC loading, as shown in Figures S1c-h and S3 in the Supporting Information, use of powder-loaded AC particles formed a more uneven HC distribution on the PU foam and exhibited a wider pore width range (micropores and mesopores) than when granule-shaped AC particles (micropores) were used, although they had a similar BET surface area as shown in Table S1 in the Supporting Information.

**4.3. Performance for Submicrometer Particle Removal.** Because ambient aerosols were the pollutant source, air temperature and relative humidity were not controlled but just recorded as 33.6–36.1 °C and 23.4–29.0%, respectively. The size distributions of the particulate pollutant source are shown in Figure S4 in the Supporting Information.

Figures 3a and S5a in the Supporting Information show the single-pass filtration efficiency for  $\text{PM}_{0.3-0.5}$  and  $\text{PM}_{0.5-1}$  (PM with aerodynamic diameters of 0.3–0.5 and 0.5–1  $\mu$ m) of the electrostatically assisted PU foam and HC filters when charging voltage  $(U_c)$  and polarizing voltage  $(U_p)$  were set at +9.0 and +21.0 kV, respectively. It is worth noting that all filters in this study had nearly no efficiency for PM<sub>0.3-0.5</sub> because of their large pore size ( $\sim 1$  mm). By applying voltages to the filtration module, the single-pass filtration efficiency of the electrostatically assisted PU foam increased to 54.7% for PM<sub>0 3-05</sub>. Further, by loading HCs onto the PU foams, the filtration efficiency of the EAHC filters increased obviously to 74.4-87.0% for  $PM_{0.3-0.5}$ . It was as expected in the results of *in situ* observation and electrostatic simulation that after loading a PU fiber with high- $\varepsilon_r$  HCs, the polarized HC fiber would have a stronger attraction to precharged aerosol particles and therefore resulted in higher filtration efficiency.

Figure 3a shows that the shape of the loading particles (powder or granules) has the greatest influence on the filtration efficiency of the EAHC filters, compared to the particle/ adhesive mass ratio (loading amount) and the material of the loading particles. For example, powdered AC-loaded filters had a higher average efficiency (84.0% for  $PM_{0.3-0.5}$ ) than granular AC-loaded filters (76.2% for  $PM_{0.3-0.5}$ ). Besides, AC derived from different materials with different treatment conditions

would differ in  $\varepsilon_{r}^{40}$  leading to the differences in electrostatic filtration efficiency for ambient particles.

The HC loading amount did not much influence the electrostatic filtration efficiency for submicrometer particles. For example, 583 to 782 g/m<sup>2</sup> loading of AC granules made single-pass filtration efficiencies for  $PM_{0.3-0.5}$  varied from 74.4 to 78.4%, 133 to 213, 300 to 433, and 385 to 446 g/m<sup>2</sup> powder AC, ZnO, CuO loading made single-pass filtration efficiencies for  $PM_{0.3-0.5}$  varied from 82.6 to 84.5, 83.7 to 87.0, and 82.7 to 84.6%, respectively. Noting that the weight of the bare PU foam was 156 g/m<sup>2</sup>, we suspected that when HC loading amount was in the order of magnitude with the weight of the substrate filter, the loading amount's influence on the electrostatic filtration efficiency would be limited.

Moreover, the HC material ( $\varepsilon_r > 5.1$ ) did not have much influence on electrostatic filtration efficiency for submicrometer particles either. The single-pass filtration efficiencies of powderloaded HC filters for PM<sub>0.3-0.5</sub> varied only from 82.6 to 87.0%, although the  $\varepsilon_r$  of the HCs varied from 5.1 to 1450. The following two reasons might explain such a phenomenon. (1) The high- $\varepsilon_r$  loading particles were mixed with the adhesive and might be covered with the adhesive, and so the  $\varepsilon_r$  of the loaded HC fibers may not be approximate to the corresponding loading particles', but can be estimated to the adhesives'. (2) Based on eq 1, we used parameters obtained from our experiments and literature (see in Tables 1 and 2) to calculate the theoretical

#### Table 2. Parameters Used for F<sub>e</sub> Calculation

$E_{\rm p}$ , V/m	<i>q</i> , C	$\mathcal{E}_{r}$	$d_{\mathfrak{b}}  \mu \mathrm{m}$	R, $\mu$ m
$8.75 \times 10^{5}$	$1.37 \times 10^{-17a}$	as in Table 1	150 <sup>b</sup>	75.2 <sup>c</sup>
<sup><i>a</i></sup> Calculated	from literature.47	<sup>b</sup> Estimated from	Figure	S1 in the
Supporting	Information. <sup>c</sup> Cor	nsidering PM <sub>0.3-0.5</sub>	with a	in average
diameter val	ue of 0.4 $\mu$ m.			

electric force  $(F_e)$  of the five powder-loaded HC filters. When  $\varepsilon_r$  changes from 5.1 to 1450,  $F_e$  changes from 0.020 to 0.024 nN, indicating that HC does not need an extremely large  $\varepsilon_r$  to achieve a high electrostatic filtration efficiency for ambient particles.

It is worth noting that by loading PU foams with high- $\varepsilon_r$  HCs, the pressure drop increased only from 3.5 to 4.2 Pa (as shown in Figure 3d). As shown in Figure S1 in the Supporting Information, the HC particles were much smaller than the pore size of the PU foams and were only loaded slightly on the surface of PU foam fibers. Therefore, they would not significantly increase the pressure drop of the PU foam. With much enhanced single-pass filtration efficiencies and nearly no increased pressure drops, the HC filters showed higher QFs than the bare PU foam. As shown in Figure S4c in the Supporting Information, QF for  $PM_{0,3-0,5}$  increased from 0.226 to 0.539  $Pa^{-1}$  after loading PU foams with high- $\varepsilon_r$  HCs, indicating that the HCs do a lot good in improving PU foam to an energyefficient filtration material. Moreover, while considering power consumption of the EAHC air filtration module, as shown in Figure S4b,d in the Supporting Information, CQF for PM<sub>0.3-0.5</sub> increased from 0.173 to 0.411 Pa<sup>-1</sup> after loading PU foams with high- $\varepsilon_r$  HCs.

4.4. Performance for Ozone and Formaldehyde Removal. Figure 3b shows the net ozone production of the EAHC air filtration module when  $U_c$  and  $U_p$  are controlled at +9.0 and +21.0 kV, respectively. On using bare PU, there was 29.7 ppb net ozone production (air temperature: 33.6–36.1 °C, relative humidity: 23.4-29.0%). On loading the PU foam with MnO<sub>2</sub> and powdered and granular AC, the net ozone productions decreased to -65.2, -15.9, or -44.2 ppb, respectively, thus preventing the module from not only producing but also removing the hazardous ozone from the ambient air. Considering that the average inlet ozone concentration was 121.3 ppb, the ozone removal efficiencies of MnO<sub>2</sub>, powdered or granular AC-loaded filters were 53.8, 13.1, and 36.4%, respectively. It is because during the precharging process, not only aerosol particles but also the ozone molecules are charged.<sup>48</sup> These charged ozone molecules then are captured by polarized fibers owing to the electric force. When  $MnO_2$  HCs are loaded on the fibers, they act as the catalyst in decomposition of the ambient ozone,<sup>49</sup> while AC HCs act as the adsorbent for adsorbing ambient ozone and decompose ozone by some active groups on it.<sup>50</sup> Granular ACloaded filters had higher ozone removal potential than those containing powdered AC, owing to their greater loading amount



Figure 4. Single-pass filtration efficiency and pressure drop ( $\Delta p$ ) of five filters. (a) Schematic (EAHC is electrostatically assisted air filter with HC coating; EAA is electrostatically assisted air filter without HC coating; Ion-A is ion assisted air filter; Elec is electret filter; Conv is conventional filter based mostly on mechanical filtration efficiency and  $\eta_{E, \text{ polarizing}}$  are the filtration efficiencies contributed by the charged PM and the polarized filter, respectively;  $\eta_{\text{mechanical}}$  is the filtration efficiency contributed by mechanical effect); (b) real data including EAHC filters in this study, EAA filters,<sup>31</sup> other literature reported filters ( $1, 5^4 2, 2^{12} 3, 2^{24} 4, 5, 2^{9} 6, 3^{37} 7, 3^{48} 8, 2^{59} 9, 5^{55} 10, 5^{56} 11, 5^{7}$  and  $12^{58}$ ), and commercial filters.<sup>59</sup> If the experiments in the literature were not conducted at 1.1 m/s face air velocity, we calculated the equivalent  $\Delta p$  by assuming that  $\Delta p$  was proportional to face air velocity.<sup>18</sup>

(as shown in Table 1) and larger surface area (as shown in Table S1 in the Supporting Information). However, on loading the PU foam with ZnO, CuO, or BaTiO<sub>3</sub>, the net ozone productions increased to 47.8, 35.6, or 55.8 ppb, respectively. We suspected that when the HCs had such high  $\varepsilon_r$  to generate a large amount of charge on their surfaces, local microdischarging might happen in the polarized HC filters. Therefore, high  $\varepsilon_r$  of the HCs without catalyst or adsorbent function would result in a large net ozone production.

Figure 3c shows the single-pass removal efficiency for formaldehyde of the EAHC air filter module when  $U_c$  and  $U_p$ were controlled at +9.0 and +10.0 kV, respectively. The average inlet formaldehyde concentration was 0.987 ppm (air temperature: 29-31 °C, relative humidity: 20-30%). Because most of the HC filters showed nearly no removal efficiency for formaldehyde, we only showed the results for PU, MnO<sub>2</sub>-3, AC-3, and BaTiO<sub>3</sub>-3 filters. As expected, bare PU foam had nearly no removal efficiency for formaldehyde, but the filter  $MnO_2$ -3 showed a relatively high removal efficiency of 64.3%. Similar to the ozone removal mechanism, charged formaldehyde molecules are captured by polarized fibers owing to the electric force<sup>51</sup> and then are catalyzed by MnO<sub>2</sub> loaded on the fibers.<sup>52</sup> However, as filter AC-3 showed only 4.9% removal efficiency, we suspected that in an EAHC filter with ultralow pressure drop (large pore size and porosity), catalyst loading might be more effective than the adsorbent for airborne formaldehyde removal.

**4.5. Comparison with Other Studies.** Figure 4a illustrates the contributions of mechanical, charging, and polarizing effects to the overall filtration efficiency of different fibers.

- Conventional filters usually remove PM from airflow mechanically by the combined effects of Brownian diffusion, interception, and inertial impaction.<sup>53</sup> Thus, they consume the largest pressure drop among all types of filters owing to the large filling ratio.
- By enlarging the electrostatic effect between the fibers and airborne PM, researchers developed electret filters<sup>20</sup> and ion-assisted filters<sup>21-25</sup> in which either filter or airborne PM is charged. Therefore, the insufficient electrostatic effect makes electret filters and ion-assisted filters still rely on mechanical effect and consume considerable pressure drop.
- An EAA coarse filter is developed with airborne PM charged by corona discharging and filter fibers charged by an external electrical polarizing field. Therefore, the EAA coarse filter realizes a lower pressure drop with maintained filtration efficiency. However, the charging and polarizing voltages in an EAA coarse filter are limited by safety and energy consumption problems. Too high voltages not only lead to air breakdown but also produce hazardous byproducts such as ozone.
- To approach an ultralow-pressure-drop filtration, new filter materials with desirable dielectric properties are designed in this study. The fiber loaded with large  $\varepsilon_r$  HCs will generate a great amount of charge on its surface while being installed in a polarizing field. Therefore, they have a strong attraction to precharged airborne PM and show high filtration efficiency with ultralow pressure drop.

The experimental results from reported literature and commercial filters also support this conclusion. As shown in Figure 4b, the EAHC filters have remarkably lower pressure drop than those in both literature reported and commercial air filters while achieving similar filtration efficiency. Besides, www.acsami.org

previous studies mainly focused on thin (membrane) filters, which have poor dust-holding capacity. The bulk foamstructured HC filters in this study will potentially show a lower speed in increase of the pressure drop and a higher total dust-holding capacity when considering long-term performance. Furthermore, the HC filters are also designed to be multifunctional for ambient particle, ozone, and formaldehyde removal by being loaded with different adsorbent (AC) and catalyst (manganese dioxide) materials.

#### 5. CONCLUSIONS

In summary, we demonstrated dielectric HC filters with ultralow pressure drop for efficient electrostatic multifunctional air filtration. The idea is, while loading normal filters with high- $\varepsilon_r$  HCs, the dielectric filter material in a polarizing field would generate a great amount of charge on its surface, have a strong attraction to precharged airborne particles, and result in high filtration efficiency. We observed *via* a CCD camera that the migration speeds of smoke particles on the polarized HC fiber exceeded those on the unpolarized HC fiber by a factor of 6 and formed particle chains specifically upon the high- $\varepsilon_r$  HCs.

We loaded high- $\varepsilon_r$  HCs (MnO<sub>2</sub>, AC, ZnO, CuO, and BaTiO<sub>3</sub>) on PU foams using a fast and large-scale roll-to-roll gel squeezing method. Installed in an EAHC air filtration module, the filter ZnO-3 can remove PM<sub>0.3-0.5</sub> and PM<sub>0.5-1</sub> from ambient air with single-pass filtration efficiencies of 87.0 and 89.2%, respectively, and a pressure drop of 3.8 Pa at 1.1 m/s filtration velocity.

The dielectric HC filters not only overcome the intrinsic conflict between high particle removal efficiency and low wind pressure drop but also simultaneously remove indoor gaseous pollutants. We suggest filter  $MnO_2$ -3 because it has less than 5 Pa pressure drop and relatively high removal efficiency for primary indoor air pollutants: 84.2% for  $PM_{0.3-0.5}$ , 85.9% for  $PM_{0.5-1}$ , 53.8% for ambient ozone, and 64.3% for formaldehyde.

#### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsami.0c07447.

- Performance test method of the EAHC air filtration module and calculation methods (PDF)
- HC filter washed by hands (MP4)

Particle movement toward the HC fiber at zero voltage (MP4)

Particle movement toward the HC fiber at +5kV (MP4)

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#### **Author Contributions**

The manuscript was written through the contributions of all authors. All authors have approved the final version of the manuscript.

#### Notes

The authors declare no competing financial interest.

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## ABBREVIATIONS

AC, activated carbon BET, Brunauer Emmett Teller CCD, charged coupled device CMC-Na, sodium carboxymethyl cellulose CQF, comprehensive quality factor EAA filter, electrostatically assisted air filter EAHC filter, electrostatically assisted heterocaking filter EAMF, electrostatically assisted metal foam EDS, energy dispersive spectrometer HC, heterocaking HVAC, heating, ventilation, and air conditioning HVDC, high voltage direct current MBTH, 3-methyl-2-benzothiazolinone hydrazone hydrochloride PM, particulate matter PTFE, polytetrafluoroethylene PVDF, polyvinylidene fluoride PM2.5, particulate matter with aerodynamic diameters less than 2.5  $\mu$ m PM<sub>1</sub>, particulate matter with aerodynamic diameters less than 1  $\mu$ m, submicrometer particles PM<sub>0.3-0.5</sub>, particulate matter with aerodynamic diameters of 0.3-0.5 µm

 $PM_{0.5-1},$  particulate matter with aerodynamic diameters of 0.5–1  $\mu m$ 

ppi, pores per inch PU, polyurethane QF, quality factor SEM, scanning electron microscopy VAE, vinyl acetate-ethylene VOCs, volatile organic compounds

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# **Supporting Information**

# Electrostatic Air Filtration by Multifunctional Dielectric Heterocaking Filters with Ultralow Pressure Drop

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This supporting information (8 pages) includes 5 figures, 1 table and 4 equations.

## Performance test method:

The performance of the EAHC air filtration module was tested in an air duct with 0.204 m  $\times$  0.12 m cross-section. The length of the charging zone was 20 mm, and the distance between each charging wire and the grounded plate was 5 mm. The distance between the front screen and the back screen in the polarizing zone was 24 mm. The HC filter was installed clinging to the upstream side of the back screen. The charging wires were made of tungsten while grounded plates and the screens were all stainless steel made. The charging wires and the front screen were connected to two 0 to +30 kV adjustable HVDC power supply (P30, GENVOLT, China), respectively. The grounded plate and the back screen were connected to the ground. The charging voltage ( $U_c$ , voltage applied to the charging wires), the charging current ( $I_c$ , loop current in the charging zone), the polarizing voltage ( $U_p$ , voltage applied to the front screen), and the polarizing current ( $I_p$ , loop current in the polarizing zone) were readable from the HVDC power supply.

For performance in PM, net ozone production and pressure drop, ambient aerosols were used as the pollutant source. The air filtration velocities across the filters ( $v_{\text{filt}}$ ) were controlled at 1.1 m/s. The number concentrations of 0.3-10 µm particles were measured by an optical particle counter (Aerotrak 9306, TSI Inc., USA). The ozone concentration was measured by a photometric ozone monitor (Model 205, 2B Tech., USA). The pressure drop was measured by a differential gauge (DP-CALC 5825, TSI Inc., USA). A sensor was set at 0.8 m upstream of the intake of the air duct to record the temperature and relative humidity of the tested air.

For formaldehyde removal performance, we followed similar procedures as above. The differences include: (1) we used formaldehyde generated by a syringe pump injector as pollutant source; (2)  $v_{\text{filt}}$  was controlled at 0.35 m/s; (3) the formaldehyde concentration was measured by a gas analyzer (INNOVA 1312, AirTech, Ballerup, Denmark), which was previously calibrated with a ultraviolet spectrophotometer (Unico, WFJ7200) using the 3-methyl-2-benzothiazolinone hydrazone hydrochloride (MBTH) spectrophotometry method.

## Calculation method:

The single-pass filtration efficiency  $\eta(d_p)$  of particles with a certain size of  $d_p$  (µm) and the total power consumption of the EAHC air filter module,  $P_{\text{total}}$  (W/m<sup>2</sup>) (including the power consumption of the HVDC power supply,  $P_{\text{supply}}$  (W/m<sup>2</sup>), and the driving fan,  $P_{\text{fan}}$  (W/m<sup>2</sup>)) were calculated according to Tian's study.<sup>1</sup>

The single-pass filtration efficiency of formaldehyde,  $\eta_{\text{HCHO}}$ , was calculated by:

$$\eta_{\rm HCHO} = \left(\frac{C_{\rm up, f} - C_{\rm down, f}}{C_{\rm up, f}}\right) \times 100\%$$
(S1)

where  $C_{\text{up, f}}$  and  $C_{\text{down, f}}$  are formaldehyde concentrations (ppm) at upstream and downstream of the EAHC air filter module, respectively.

Net ozone production (ppb),  $\Delta C_{\text{ozone}}$ , was calculated by:

$$\Delta C_{\text{ozone}} = C_{\text{down, o}} - C_{\text{up, o}}$$
(S2)

where  $C_{\text{down, o}}$  and  $C_{\text{up, o}}$  are ozone concentrations (ppb) at inlet and exhaust of the EAHC air filter module, respectively.

QF and CQF were defined as:

$$QF(d_{p}) = \frac{-\ln(1 - \eta(d_{p}))}{\Delta p}$$
(S3)

$$CQF(d_{p}) = \frac{-\ln(1 - \eta(d_{p}))}{\Delta p + \frac{\eta_{fan}P_{fan}}{v_{air}}}$$
(S4)

where  $\Delta p$  (Pa) is the pressure drop across the HC filter;  $\eta_{\text{fan}} = 0.71$  indicates the efficiency of a typical fan in heating, ventilation, and air conditioning (HVAC) system.<sup>2</sup>

## **Reference:**

(1) Tian, E.; Mo, J. Toward energy saving and high efficiency through an optimized use of a PET coarse filter: The development of a new electrostatically assisted air filter. *Energy Build*. **2019**, *186*, 276-283.

(2) Feng, Z.; Long, Z.; Mo, J. Experimental and theoretical study of a novel electrostatic enhanced air filter (EEAF) for fine particles. *J. Aerosol Sci.* **2016**, *102*, 41-54.



**Figure S1.** SEM images of filters in **Table 1** (a) PU; (b) MnO<sub>2</sub>-3; (c) AC-1; (d) AC-2; (e) AC-3; (f) gAC-1; (g) gAC-2; (h) gAC-3; (i) ZnO-1; (j) ZnO-2; (k) ZnO-3; (l) CuO-1; (m) CuO-2; (n) CuO-3; (o) BaTiO<sub>3</sub>-3.



(d) Filter gAC-3





**Figure S2.** EDS element analysis of (a) the bare PU foam and the heterocaking filters including filter (b) MnO<sub>2</sub>-3, (c) AC-3, (d) gAC-3, (e) ZnO-3, (f) CuO-3, (g) BaTiO<sub>3</sub>-3. Gold spraying on the samples for SEM led to the Au peaks.



Figure S3. Pore volume distribution of the heterocaking filters.

**Table S1**Specific Surface Area of the Bare PU Foam and the Heterocaking Filters.

filters	loading materials	BET surface area, m <sup>2</sup> /g
PU	none	0.1476
MnO <sub>2</sub> -3	MnO <sub>2</sub> (powder)	2.3361
AC-3	AC (powder)	261.8221
gAC-3	AC (granules)	301.4842
ZnO-3	ZnO (powder)	0.0503
CuO-3	CuO (powder)	0.0435
BaTiO <sub>3</sub> -3	BaTiO <sub>3</sub> (powder)	0.0289



Figure S4. Size distribution of loading particles during PM and ozone removal test.



**Figure S5.** Multifunctional air purification performances of electrostatically assisted PU foam and HC filters. (a) Single-pass filtration efficiencies for 0.5-1  $\mu$ m particles (PM<sub>0.5-1</sub>); (b) Power consumption; (c) Quality factor (QF) for PM<sub>0.3-0.5</sub>; (d) Comprehensive quality factor (CQF) for PM<sub>0.3-0.5</sub>. Hollow, semi-solid, and solid dots represented particle/adhesive mass ratio being 1:3, 1:2 and 1:1, respectively. AC represented powdered activated carbon, and gAC represented granular activated carbon. The error bars in the figure (a) are the standard deviations of 6 observations of the experiments; in the figure (b) are the variations of results for three filters loading with different particle/adhesive mass ratio; and in the figures (c) (d) are the standard deviations propagated by those of single-pass filtration efficiency, pressure drop, filtration velocity and the instrumental errors of the power dissipation.