Ripplocations in van der Waals Layers

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Supporting Information

ABSTRACT: Dislocations are topological line defects in three-dimensional crystals. Same-sign dislocations repel according to Frank’s rule \(|b_1 + b_2|^2 > |b_1|^2 + |b_2|^2\). This rule is broken for dislocations in van der Waals (vdW) layers, which possess crystallographic Burgers vector as ordinary dislocations but feature “surface ripples” due to the ease of bending and weak vdW adhesion of the atomic layers. We term these line defects “ripplocations” in accordance to their dual “surface ripple” and “crystallographic dislocation” characters. Unlike conventional ripples on noncrystalline (vacuum, amorphous, or fluid) substrates, ripplocations tend to be very straight, narrow, and crystallographically oriented. The self-energy of surface ripplocations scales sublinearly with \(|b|\), indicating that same-sign ripplocations attract and tend to merge, opposite to conventional dislocations. Using in situ transmission electron microscopy, we directly observed ripplocation generation and motion when few-layer MoS\(_2\) films were lithiated or mechanically processed. Being a new subclass of elementary defects, ripplocations are expected to be important in the processing and defect engineering of vdW layers.

KEYWORDS: 2D layered crystals, van der Waals homostructures, MoS\(_2\), ripple, dislocation

Sharp and localized folds\(^1,2\) have been observed in two-dimensional (2D) layered crystals\(^3–9\) when a top atomic layer slips against the bottom layer by an in-plane Bravais vector \(b\). At the border between the slipped and unslipped areas, an excessive line of atoms can be regarded as being locally inserted into one layer relative to the other (as edge dislocation), creating a local line of ripple in van der Waals (vdW) homostructures. Such line defects in thin-layered crystals are topologically identical to but energetically distinct from conventional dislocations in bulk crystals due to the thinness of the vdW structures, the simplest of which is a bilayer, and they are hereafter termed ripplocations. In this study, ripplocations in thin layered MoS\(_2\) crystals and their motion under electrochemical lithiation and mechanical perturbation are atomically resolved with high-resolution transmission microscopy (HRTEM). Density functional theory (DFT) calculations reveal that ripplocations are highly localized with a core width of only a few nanometers. Same-signed ripplocations attract at short distances, conforming to the general “curve-to-attract” rule\(^10\) in membrane mechanics, and are weakly repulsive to each other at long distances. The energetics suggests that ripplocations tend to merge into larger, immobile folds. The presence of ripplocations is found to markedly alter the electronic transport properties of the layered crystals.

MoS\(_2\) thin films prepared by the scotch tape exfoliation technique\(^11\) were used in this study. A TEM image of the freestanding MoS\(_2\) thin film attached on an Al rod using conducting epoxy is shown in Figure 1a. Sharp lines aligned with well-defined crystallographic directions were observed in the thin film. A higher magnification image of the marked area in Figure 1a is shown in Figure 1b. The electron diffraction pattern (EDP) shown in the inset indicates that the sharp lines in the selected area are perpendicular to the [10\(\overline{1}\)0] direction. Sharp lines in other crystallographic directions were also observed (see Supporting Information Figure S2). These sharp lines were commonly seen on many of the scotch tape exfoliated MoS\(_2\) film samples. According to the HRTEM analysis presented below, they were confirmed to be ripplocations formed on the surface layers with \(b = [10\overline{1}\overline{0}]\), as schematically illustrated in Figure 1c.

Figure 1d displays an HRTEM image of a typical ripplocation observed in the films. Across the ripplocation the film maintained its perfect crystalline structure without exhibiting any broken bonds. A slight change in the achromatic contrast of width \(\lambda \sim 5\) nm was observed at the ripplocations, which was identified as the characteristic core width of a unit ripplocation (with unit Burgers vector \(b_0\)) on the MoS\(_2\) thin film. The nanometer sharpness of the lines is distinct from the long-range bending morphology of an atomic film freely suspended or on an amorphous (noncrystalline) substrate. High-resolution cross-section image of a multilayered MoS\(_2\) (Figure 1e) shows ripplocations inside the crystalline film, evidencing the existence of membrane bending and extra lines of atoms in one MoS\(_2\) layer.

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Figure 1. Morphology of ripplocations. (a) A typical TEM image of thin layered MoS₂ film. (b) A magnified view of the dashed squared region in (a). The EDP is shown in the inset. (c) Schematic illustration of surface rippllocation in a MoS₂ film. (d) A HRTEM image of a rippllocation. (e) A TEM image of cross-section view of a multilayered MoS₂ film containing buried rippllocations. (f) Highly mobile rippllocations in a MoS₂ film during lithiation (see Supporting Information Movies 1–3). (g) Rippllocations (e.g., the sharp straight line shown in the solid square (i) in (f)) are distinguished from (h) wider nonstraight lines in the lithiated region ((ii) in (f)). (i) Changes in the rippllocation pattern of a MoS₂ film after mechanical loading. The initial rippllocations indicated by arrowheads in (i) disappeared after an edge compressive displacement d ≈ 500 nm along the arrow direction in (j) was applied to the film by a contacting W probe. When the W probe was retrieved, new rippllocations were formed as indicated by the arrowheads in (k).

To probe the mobility of rippllocations, we lithiated the MoS₂ film using the well-established in situ TEM electrochemical-mechanical platform¹²,¹³ as a way to drive rippllocation motion in situ. Lithiation generates a chemical reaction front between unlithiated and lithiated regions.¹² The shear stress near the propagating electrochemical reaction front drove violent motion of the rippllocation (the sharp line marked by the arrowhead in Figure 1f) (see Supporting Information Movies 1–3 and Figure S3), much like traveling soliton waves on a water surface. Aside from sharp lines (see Figure 1g, a magnified view of the solid squares (i) in Figure 1f), we also observed tortuous wrinkles with relatively large wavelengths and asymmetric morphologies (see Figure 1h, the magnified views of the solid square (i) in Figure 1f). While sharp rippllocations were observed to be highly mobile, wrinkles on the film at the lithiated region could hardly migrate, once formed. The immobile wrinkles can be analogously found in a floor carpet. We also applied edge compression to the MoS₂ film using a tungsten probe to drive rippllocation motion. After the tungsten probe was brought into contact with the MoS₂ film at its edge (Figure 1i), a lateral displacement of ≈ 500 nm was applied parallel to the arrow direction (Figure 1j). The loading was then removed by retracting the probe away from the film (Figure 1k). A comparison of the TEM images before and after the loading showed clear differences in the rippllocation patterns, as selectively indicated by the arrowheads in Figure 1i for the disappearing rippllocations and Figure 1k for the newly emerged ones.

The ease of change in the rippllocation pattern implies that rippllocations can nucleate, migrate, aggregate, and annihilate in response to mechanical, thermal, or chemical perturbations. As rippllocation core does not entail in-plane bond reconstruction, a continuum mechanics based analysis may be adequate to provide the scaling law of the energetics. For a surface rippllocation whose magnitude of Burgers vector \( b \) is much smaller than the arch length \( s \) (\( b \ll s \)), the following geometrical scaling relations hold: \( \Delta \sim S, \Delta \sim (b\varepsilon)^{1/2} \), and \( \kappa \sim \Delta/\lambda^2 \), where \( \Delta \), \( \lambda \), and \( \kappa \) are the projected core width, the height amplitude, and the curvature of the rippllocation, respectively. The total energy \( E \) per unit width associated with the rippllocation includes the elastic bending energy \( U_{\varepsilon} \sim \nabla k^2 = Bb^2 \Delta^2 \) and the interfacial energy \( U_I \sim \Delta^2 \gamma \) where \( B \) and \( \gamma \) are the bending stiffness and vdW interlayer adhesion energy of the MoS₂ film, respectively. Mechanical equilibrium requires \( \partial E/\partial \Delta = 0 \), which leads to a sublinear scaling law, \( E \sim \beta \Delta^{2/3} \).

The sublinear scaling law above implies that merging of two surface rippllocations is energetically favorable. Such energetics is opposite to Frank's rule for interacting dislocations where same-sign dislocations repel due to the superlinear energy scaling \( |b_1 - b_2|^2 > |b_1|^2 + |b_2|^2 \). We further confirmed the rippllocation sublinear scaling law by calculating the core configuration and the formation energy of surface rippllocations of different Burgers vectors using ab initio molecular simulations. The surface rippllocations, created by inserting extra \( n \) units of MoS₂ to the top layer, possess Burgers vectors \( \mathbf{b} = n\mathbf{b}_0 (n = 1, 2, 3...) \), as schematically described in Figure 2a. The simulation approaches are detailed in Supporting Information. We emphasize that the formation energy (per length) of a rippllocation is well-defined, unlike that of a dislocation in thick, 3D crystals with a long-ranged (\( \alpha x r \)) elastic field, whose formation energy diverges as the system size increases.¹⁴ This necessitates the total energy \( E_{\text{total}} \) and the core
energy $E_{\text{core}}$ to be separately defined. For a ripplocation, $E_{\text{total}} = E_{\text{core}}$ converges with system size due to its rapidly decaying elastic field. Figure 2c shows the sublinear scaling of the calculated formation energy with respect to $n$, consistent with the theoretical scaling. Data fitting gives rise to $E \sim n^{-0.4}$. The difference between the continuum scaling analysis and the ab initio simulations may stem from the neglect of vdW interactions at finite interlayer separation $\Delta$ in the ripplocation in the continuum analysis. Our DFT simulations further showed that in the fully relaxed configuration the Mo–Mo bond length across the ripplocations changes by less than $\sim 0.1\%$ from the flat crystal, indicating a very low in-plane strain. Note that an edge dislocation gliding beneath a free surface in 3D crystals generates a short-ranged ($\propto 1/r^3$) elastic strain field and also creates a small ripple on the surface.\(^{15}\) What distinguishes ripplocation from the conventional subsurface dislocation is the weak vdW interlayer interactions and the extreme closeness to the vacuum (only one layer), causing interlayer delamination.

The Peierls solution of dislocation core width\(^{16}\) and classic Peierls–Nabarro theory\(^{17}\) relating dislocation core width to lattice friction do not apply to ripplocation due to different forms of elastic energy for very thin versus thick crystals. To examine the mobility of the ripplocations, we calculated the migration energy landscape of a unit ripplocation by nudged elastic band (NEB) method,\(^{18}\) as shown in Figure 2d. The calculated migration barrier is only $\sim 5.6$ meV/Å, which means it takes little external driving force to overcome this barrier and move the ripplocation athermally.\(^{19}\) Figure 2e shows the NEB images along the minimum energy path (MEP). Along the MEP all the atoms constituting the ripplocation were found to move concertedly, resembling the motion of a carpet ruck.

Figure 2. (a) Schematic illustration of the formation of a ripplocation in the simulation by adding an extra unit on the top layer of a MoS$_2$ bilayer. (b) Atomic structures of the ripplocations of different number of extra units $n$ added to the top layer, that is, the Burgers vectors. (c) Formation energy of the ripplocations as a function of $n$. (d) The minimum energy path of the in-plane migration of the unit surface ripplocations calculated by the NEB method. (e) Atomic configurations of the migrating ripplocation along the minimum energy path. Only Mo atoms and Mo–Mo bonds are shown. Blue, cyan, green, yellow, and red colors correspond to the ripplocation structures of the reaction coordinates 0, 2, 4, 6, and 8 in (d), respectively. (f) Schematic illustration of the aggregation and self-folding processes of the ripplocations.
Our analysis suggests condensation of ripplocations, which are “quanta” of geometrical incompatibility, into a larger “ripple” that is a more “classical” notion of a bending defect, illustrated in Figure 2f. (In the quantum mechanics analogy, ripplocations are like Bosons.) Beyond a critical magnitude of the Burgers vector, the ripplocation or ripple breaks its left−right symmetry and self-folds into a wrinkle. The wrinkles are kinetically trapped/frustrated because of the multiple interlayer adhesions between self-folded domains, presenting a challenge to eliminate them, similar to a roughened bed sheet on mattress. Such folds were previously introduced in graphene20 by transferring graphene onto an etchable substrate, followed by underetching that folds the graphene.

The theoretical results above suggest merging of ripplocations is thermodynamically favorable. We next characterize the merging kinetics of two same-sign ripplocations. Because modeling the interactions of multiple ripplocations is computationally prohibitive for DFT calculations, we resort to empirical force field based atomistic simulation. The empirical potentials21,22 were carefully tested and validated against DFT data for elementary material properties (e.g., in-plane and out-of-plane moduli, interlayer cohesive energy, and so forth) and able to reproduce the sublinear core-energy scaling law (see Supporting Information). Starting from two well-separated ripplocations in a bilayer MoS2, as shown in S1 of Figure 3a, we decrease the separation distance \( d \) between the ripplocations and obtain the atomic configurations in a series of metastable states (e.g., S1, S2, and S3 in Figure 3a) by molecular statics relaxation. The potential energy \( \Delta E \) for the metastable states is plotted as a function of \( d \), as shown in the inset of Figure 3b. Our result showed there exists a critical separation distance of \( d_c \sim 6 \) nm (state N1) below which no additional metastable state can be found until the two ripplocations merge completely, indicating that the system encounters a single energy barrier between \( 0 \leq d < d_c \). We then switched to the NEB method to calculate the MEP of the merging process (N1−N4), where the metastable state of two ripplocations with the shortest possible separation distance (N1) and the fully merge state (N4) were used as the initial and final configurations of the NEB calculation. Our result also shows that the system energy decays exponentially with increasing \( d \) for \( d > d_c \), indicating that ripplocations are long-distance repulsive. The repulsion, however, is very weak. The potential energy rises sharply as the ripplocations come closer (\( d < d_s \)) until it reaches the saddle point of \( \Delta E = 0.2 \) eV/\( \AA \) at \( d_{saddle} = 4.8 \) nm. Once below the saddle point, the system potential energy decreases rapidly as the two ripplocations merge. The MEP merging processes are shown in Figure 3c. As two ripplocations approached each other, the adherent bilayers between the ripplocations gradually detached, forming a
trapezoidal rack-like saddle configuration. Because the migration barrier is about two orders of magnitude smaller than the merging barrier, multiple rippllocations may also pile up temporarily without merging. This may explain the periodically aligned rippllocations pattern observed in Figure 1b, which may depend on the stress state and temperature. We also note that our calculations did not consider possible chemical adsorbates on surface and/or inside the rippllocation core (e.g., between two layers), which could affect the rippllocation motion.

MoS₂ homostructures have bandgaps $\sim 1-2$ eV²³ with potential semiconductor device applications.³ Theoretical analyses showed that an inhomogeneous strain distribution can modify the bandgap to create "exciton funnel",²⁴ which was recently confirmed experimentally in a MoS₂ ripple where an inhomogeneous bending strain makes "excitons drift hundreds of nanometers to lower bandgap regions before recombining".²⁵ Controlled unit rippllocations and larger ripples²⁸ may thus enable 1D defect engineering.²⁶ It is also worth noting that like folded bilayer graphene edges (BLE, "half nanotubes"),²⁷,²⁸ rippllocations are very straight, narrow, and crystallographically oriented with highly specific atomic structure. Because only bending and vdW interactions are involved (no in-plane modification of the bonding topology), they have outstanding chemical stability similar to nanotubes, and therefore may be used in devices in a modular fashion.²⁹

To investigate this possibility, we calculated electrical conductance along and across rippllocations using first-principles nonequilibrium Green’s function method³⁰,³¹ within the Landauer-Büttiker formalism. As shown in Figure 4a, the conductance across a single surface rippllocation ($n = 1, 2, 3$) is significantly reduced compared to the pristine bilayer MoS₂ ($n = 0$), and more extra units result in less conductance. Interestingly, the conductance near the valence band maximum from −1.0 to 0.5 eV is almost completely quenched. To understand this behavior, we calculated the band structure of pristine monolayer and bilayer MoS₂ whose Brillouin zone is shown in the gray hexagon of Figure 4b. The band structure of pristine bilayer MoS₂ in Figure 4c clearly shows a significant splitting near the $\Gamma$ point compared to its monolayer. This splitting arises from the interlayer interaction. Because the top valence band near the $\Gamma$ point is higher than the rest valence bands it follows that the quenched conductance arises from these states, as directly verified by the eigenchannel analysis. The conductance eigenchannels at momentum-energy $(k_x, E) = (\Gamma, -0.7 \text{ eV})$ in bilayer MoS₂ without ripple ($n = 0$) and with ripple ($n = 3$) are displayed in Figure 4d,e, respectively. The corresponding phase information is color-encoded in the channels with its colormap shown in the figure. The eigenchannel in the pristine one has 100% transmission, exhibiting full phase oscillation and constant charge density when electron transports from left to right. However, the eigenchannel in the bilayer MoS₂ with the rippllocation ($n = 3$) has only 34% transmission with the rest of the electron wavepacket backscattered toward the left, resulting in a partial standing-wave whose phase on the left is close to pure real (red) and imaginary (cyan). The transported part on the right side has much reduced magnitude of electron density compared to the left side. A closer look at the bottom layer in Figure 4e reveals a strong quantum-confinement-induced resonance, that is, a large pseudo $p_z$ orbital between the disrupted vdW interaction edges close to the two sides of the rippllocation.

Rippllocations exist in different forms: bilayer (only one-layer surface ripples) versus multilayer rippllocations, and surface versus buried rippllocations. The interplay of bending and
interlayer vdW confinement dictates the resulting morphology, mobility, and interaction of rippllocations. Migrating rippllocations with different line directions may intersect each other, which may locally confine their mobility at the intersections, creating additional folding/frustration. While edge-type surface rippllocations in bilayer homostructures are the primary focus of the present work, we anticipate that our study will stimulate detailed characterizations of the extended forms of this new class of defects, particularly their roles in tailoring mechanical and electronic properties of layered crystals and their interactions with other type of defects such as chemical adsorbates, edges, and so forth. Such fundamental understanding will lay a foundation for controlled defect engineering\(^\text{25,29,32}\) and quality control of layer-by-layer-stacked vdW crystals with tailored functions.

**REFERENCES**


Supporting information for
“Rippllocations in van der Waals layers”

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Transmission electron microscope (TEM) experiment

We used JEOL 2010F operated at 200 kV acceleration voltage and FEI Tecnai G2 F30 S-Twin operated at 300kV for the experiments. Tecnai G2 was used for the lithiation/delithiation experiments and 2010F was used for all other experiments. Nanofactory scanning tunneling microscope (STM)-TEM was used for lithiation/delithiation and edge compression tests of the layered MoS₂ samples.

Single crystal MoS₂ sample was purchased from SPI Supplies (SPI#429ML-AB). A thin flake of the MoS₂ was prepared by the scotch tape exfoliation technique. A tip flattened Al rod was glued to the MoS₂ film on the scotch tape using conducting epoxy (Circuitworks CW2400). After curing the glue in an oven at 60°C at atmospheric pressure for 30 minutes, Al rod was pulled off from the film. This process transferred thin flake of MoS₂ to the tip of the Al rod. Schematic of the process is shown in Fig. S1a.

For the lithiation/delithiation test, a small amount of Li metal was scratched onto the tip of a W rod. Then, the W rod and the Al rod with MoS₂ sample on the tip were mounted on Nanofactory STM-TEM holder. This was done inside an Ar-filled glove box and the holder was transferred to the TEM using a plastic bag to minimize the exposure time Li metal to the air. Then, the W rod was manipulated using the 3D piezo-manipulator of the holder to contact the Li metal on the MoS₂ film (Fig. S1b). A bias of -16 V was applied on the MoS₂ film with respect to Li metal to lithiate the sample, and +16 V was used for delithiation.

To compress the MoS₂, a sharp W probe was manipulated to contact the edge of the sample using the Nanofactory holder (Fig. S1c). Once the contact was established, the probe was pushed against the film to generate compression.

Density functional theory (DFT) simulation

A unit cell of a MoS₂ film used in our simulation model consisted of 2 Mo and 4 S atoms. The crystallographic orientation of the cell is [10\bar{1}0], [0100], and [0001] for x, y, and z directions, respectively. The simulation model consisted of a flat, AB-stacked bilayer MoS₂ with 20 × 1 × 2
unit cells. The size of the simulation box was accordingly $110 \, \text{Å} \times 3.19 \, \text{Å} \times 40.0 \, \text{Å}$. The vacuum layer along $z$ direction was set to be large enough so that the effect from the image cells is negligible. The simulation is conducted using Vienna Ab Initio Simulation Package (VASP) with a plane wave basis.\textsuperscript{1, 2} The ionic cores were represented with projected-augmented wave potential. Exchange-correlation functionals used in the calculation was in a Perdew–Berke–Ernzerhof (PBE)\textsuperscript{3} form within the generalized-gradient approximation (GGA).\textsuperscript{4} We used non-local van der Waals exchange-correlation function (vdW-DF2)\textsuperscript{5–7} to accurately evaluate the interlayer interaction. An energy cutoff of 300 eV and Monkhorst–Pack $k$-point sampling\textsuperscript{8} of $1 \times 6 \times 1$ were selected.

To form a ripple structure, extra $n$ unit cells were inserted in the top layer and the atomic configurations were optimized to minimize the total energy of the system. In the simulation the cell vectors and the $z$ coordinates of the Mo atoms in the bottom layer were fixed. For $n = 3$, cell vector in $z$ direction was expanded to 48 Å to maintain large distance between the top layer and the bottom layer in the imaginary cell. Formation energy of the size $n$ ripplocation $\Delta E(n)$ was calculated by $\Delta E(n) = E(n) - E(0) \times N/N_0$. Here, $E(n)$ is the energy of the size $n$ ripplocation model, $E(0)$ is the energy of the model without ripplocation, $N$ is the total number of atoms in a size $n$ ripplocation model, and $N_0$ is the number of atoms in the model without ripplocation.

The saddle point configuration and the minimum energy path (MEP) of migration were calculated using the climb image nudged elastic band (ci-NEB) technique.\textsuperscript{9, 10} In the NEB calculations, the initial and the final configurations are isoenergetic states; the final configuration is a replica of the initial with the center of the ripplocation shifted by one unit length. Seven images were used between initial and the final configurations in the calculations.

**Molecular dynamics simulation**

The same NEB technique was used to calculate the merging barrier of two ripplocations. Owing to the larger simulation size, empirical force fields, i.e., a Stillinger-Weber (SW) potential\textsuperscript{11} and a Lennard-Jones type potential were adopted to describe the intralayer and interlayer interactions, respectively. The potentials were parameterized such that they give rise to acceptable materials properties\textsuperscript{12}, i.e., in-plane and out-of-plane modulus, and interlayer cohesive energy. As no bond breaking or forming is involved in the ripplocation formation, these empirical potentials are adequate for the barrier calculations. We calculated the formation energy of the ripplocation as a function of the size with the same model used in the DFT simulations described above and confirmed the potential can capture the sublinear scaling raw (Fig. S4).

The merging barriers of two identical ripplocations ($n = 3$) were calculated. The simulation model consists of a MoS\textsubscript{2} bilayer with $100 \times 60$ units in the bottom layer and $106 \times 60$ in the top (where two ripplocations are located). The crystallographic orientation of the unit cell was the same as the DFT simulations. The simulation box was of the size $535.5 \, \text{Å} \times 30.9 \, \text{Å} \times 400.0 \, \text{Å}$. Periodic boundary conditions were imposed in all directions. Molecular statics simulations were used to determine the metastable states and the system potential energies as the separation distance of the pair of ripplocation decreases. There exists a critical separation distance below which no additional metastable states can be found, suggesting that the system comes across an energy barrier. We then used the NEB method to determine the merging barrier of the two ripplocations.
References

**Figures**

**Figure S1.** (a) Schematic illustration of the MoS$_2$ sample preparation. (b) Configuration of the lithiation/delithiation test. (c) Configuration of the compression test.

**Figure S2.** High-resolution images of typical rippllocations. Inset is the electron diffraction pattern and the right panel is the inverse FFT of the image. The TEM observation indicates the rippllocation favors certain crystallographic orientations and there is no in-plane dislocations in the vicinity of the rippllocations.
**Figure S3.** Ripplocation motion during (a-d) lithiation and (e-h) delithiation. The ripplocations marked as red, blue, and green arrow heads moved randomly during the lithiation. In the delithiation process, new ripplocations were formed as indicated by the purple and white arrow heads in f-h.

**Figure S4.** Formation energy of the ripplocations as a function of $n$ calculated using empirical potential parameters from Ref. 11 and 12.

**Movie S1.** Lithiation process of MoS$_2$. The movie is recorded at 2 frames/s and played 20 times the original speed.

**Movie S2.** Delithiation process of MoS$_2$. The movie is recorded at 2 frames/s and played 20 times the original speed.

**Movie S3.** Lithiation/delithiation cycle of MoS$_2$. The movie is recorded at 2 frames/s and played 20 times the original speed.